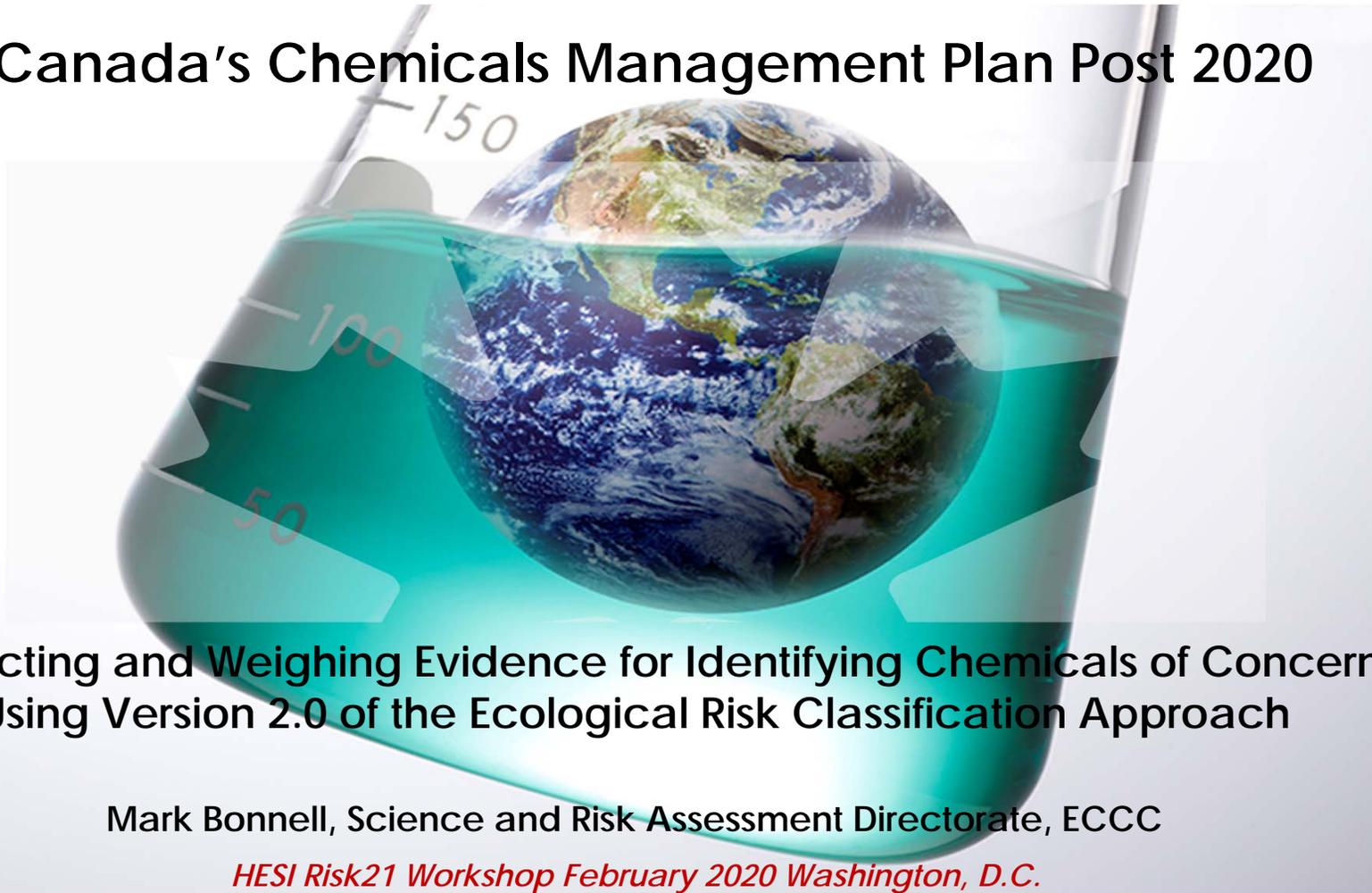




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# Canada's Chemicals Management Plan Post 2020



**Collecting and Weighing Evidence for Identifying Chemicals of Concern  
Using Version 2.0 of the Ecological Risk Classification Approach**

Mark Bonnell, Science and Risk Assessment Directorate, ECCC

*HESI Risk21 Workshop February 2020 Washington, D.C.*

Canada

# Main Objective

- Give a basic overview of the main concepts of version 2.0 of ECCC's Ecological Risk Classification Approach (ERC2)
- Focus on how ECCC can make prioritization decisions from the output of ERC2 using ecogenotoxicity as an example

# Ecological Risk Classification

- In 2016 ECCEC successfully developed and published a 21<sup>st</sup> century science approach to **re-prioritizing** 640 organic chemicals for the third phase of the Chemicals Management Plan known as the Ecological Risk Classification (ERC)<sup>1</sup>
- ERC has been reviewed and published in the third cycle of OECD IATA (*Integrated Approaches to Testing and Assessment*) case studies<sup>2</sup>

<sup>1</sup><https://www.ec.gc.ca/ese-ees/default.asp?lang=En&n=a96e2e98-1>

<sup>2</sup>[http://www.oecd.org/officialdocuments/publicdisplaydocumentpdf/?cote=ENV/JM/MONO\(2018\)27&docLanguage=En](http://www.oecd.org/officialdocuments/publicdisplaydocumentpdf/?cote=ENV/JM/MONO(2018)27&docLanguage=En)

# Version 2 of the ERC Organics for Post 2020

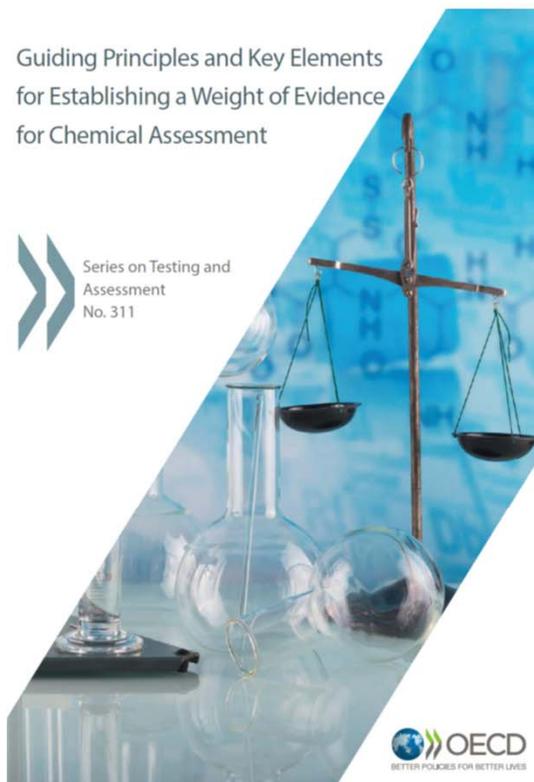
- ECCCC has developed version 2.0 of the ERC (ERC2) for re-prioritizing ~12000 organics on the DSL which were not 'categorized' as a concern using P or B and T metrics in 2006
- ERC2 includes discrete organics, organic moieties of metal salts, UVCBs with known structure
- This will provide information to feed into the main themes for ECCCC's post 2020 chemicals management thinking
- ERC is big data (>10 million data points; 405K files)
- ERC2 is always "in development" and morphing to accommodate new science

# Core Concepts of ERC2

1. Classifies **risk** (hazard and exposure) using a **chemical profiling** approach
2. Profiles **parent and metabolites** for some hazard endpoints
3. Is **weight of evidence** driven (consensus within and among *in silico*, *in chemico*, *in vitro*, and *in vivo* datasets)
4. Incorporates **biological extrapolation** assuming a sufficient degree of cross species susceptibility\*
5. Finds **plausible** mechanisms to explain **causality** (adverse effects) using the AOP framework
  - linking chemistry with biology
6. Determines the **likelihood of exposure** at varying temporal and spatial scales

# Regulatory Acceptance of ERC2: OECD Weight of Evidence Principles

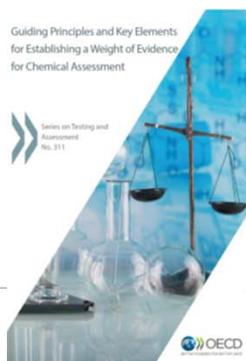
Guiding Principles and Key Elements  
for Establishing a Weight of Evidence  
for Chemical Assessment



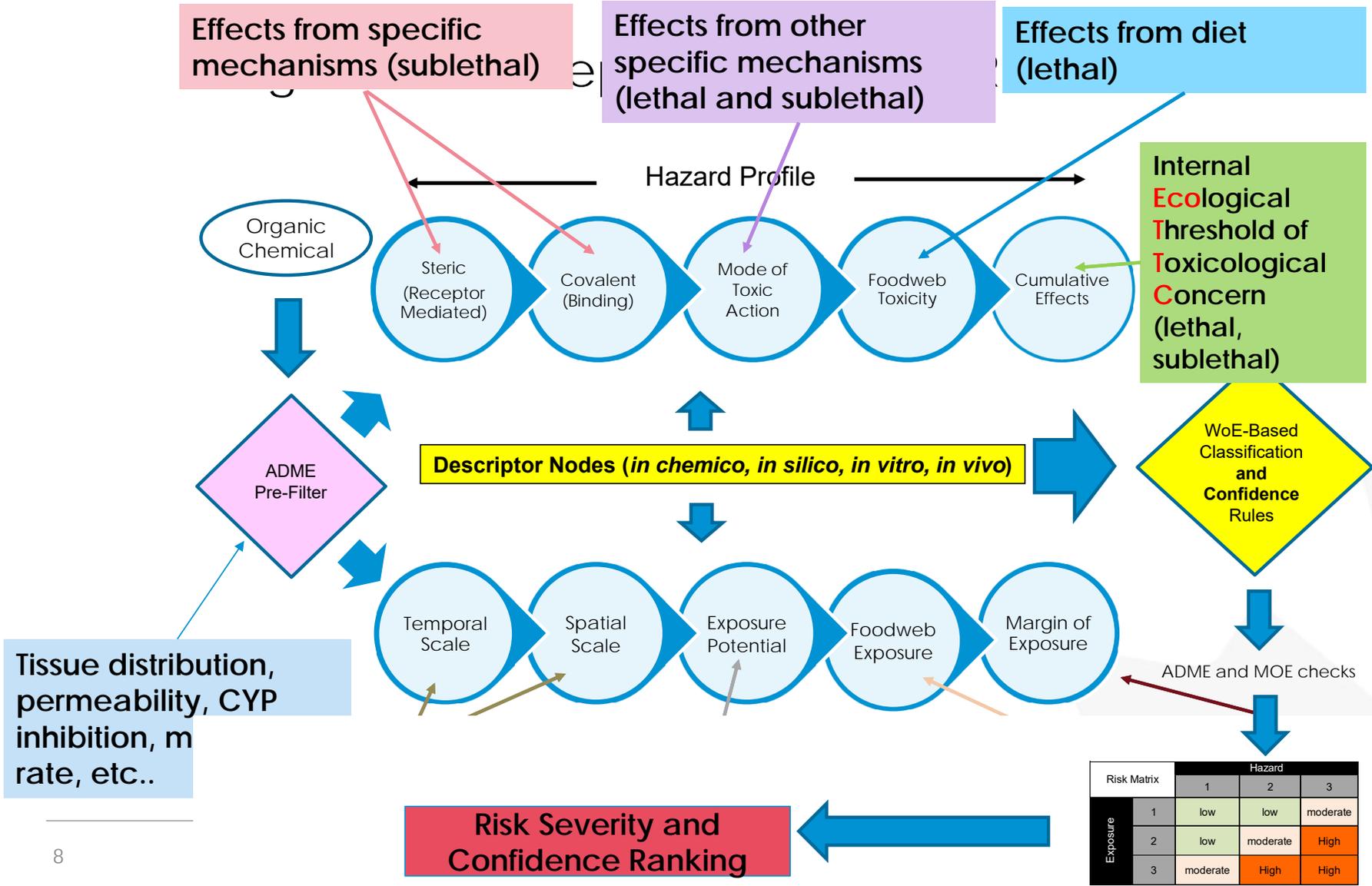
- Include a **Hypothesis** which involves a clear formulation and statement of the problem for which evidence is needed and possible alternative hypotheses
  - Be **Systematic and Comprehensive** in design by documenting a step-wise procedure integrating all evidence and indicating how evidence was collected, evaluated and weighed
  - Include a **Treatment of Uncertainty** arising from available data (knowns) and data and/or knowledge gaps (unknowns)
  - Consider the **Potential for Bias** during collection, evaluation and weighing of evidence
  - Be **Transparent** by including clear documentation to assist the communication of WoE decisions so that they can be understood, reproduced, supported or questioned by all interested parties.
- 
- **Hypothesis:** endpoint specific and overall risk questions
  - **Systematic and Comprehensive:** rule based logical classification
  - **Potential for Bias:** precaution only when justified
  - **Treatment of Uncertainty:** Confidence and severity scoring included
  - **Transparency:** All data will be publically available and decision rules traceable

# Acceptable Level (Tolerance) for Uncertainty

hypothesis question(s). Brunk (2007) has noted, in scientific enquiry the “*standard of proof*” required to reject a null hypothesis is typically high (i.e., “greater than 95% confidence” or equivalently “beyond reasonable doubt”). However in risk assessment, as in some legal contexts, the “standard of proof” may be lower – e.g., “a preponderance of evidence” or “greater than 50% confidence” (Krimsky 2005). **The level of confidence required to accept or reject a hypothesis formed during problem formulation can be associated with the acceptable level of uncertainty** given the context in which the question is being asked. Acceptance of the level of uncertainty is **directly linked to the protection goal(s) outlined during problem formulation and may differ between the human receptor (single species, higher specificity often required) and ecological receptors (multiple species, lower specificity is inherent)**. It may also vary according to the level or tier of evaluation undertaken depending on the decision context. It should also be noted that in



Pg. 14 OECD Guiding Principles and Key Elements for Establishing a Weight of Evidence for Chemical Assessment



## Core Output of ERC2

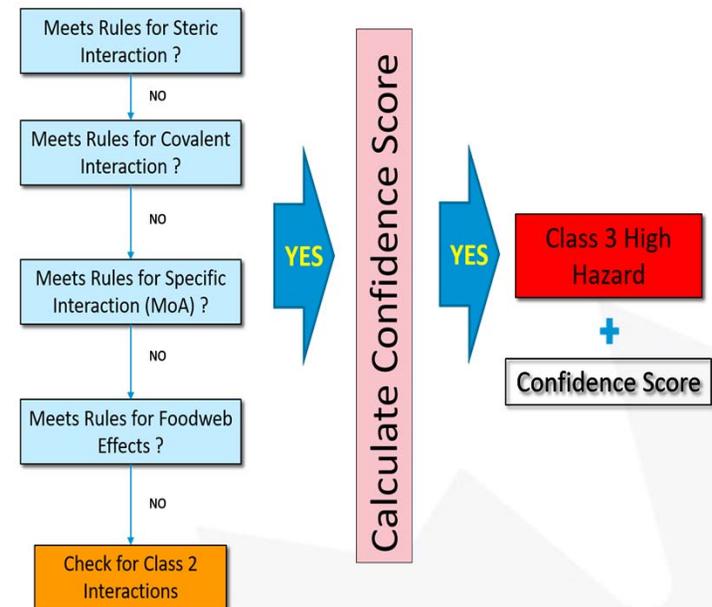
For each CAS#, ERC2 provides:

- **Classification** scores (hazard, exposure, risk)
- **Confidence** scores (hazard, exposure risk)
- **Severity** scores (hazard, exposure, risk)

# Classification Scoring

- Classification of hazard, exposure and risk is conducted according to **rules** based on potency indices (hazard) probability (exposure)
  - Many of these are scientifically established (e.g., ER/AR binding, protein binding)
- Classification rules have been established at:
  - The **data level** (in silico to in vivo)
  - **Final classifications** of hazard, exposure, risk
- The classifications are used to determine the risk matrix

ERC2 **High** Hazard Classification Scheme



# Confidence Scoring

- Where possible and appropriate, each descriptor for ADME, hazard and exposure will be associated with a confidence score (“**data level confidence**”)
- In addition to each descriptor, overall classification will have a confidence score based on concordance of in silico, in vitro and in vivo data (“**final classification confidence**”)
- Confidence ranking is intended to give transparency to the **impact of uncertainty** in accordance with weight of evidence principles

Example *in silico* Confidence Rules and Scoring for Covalent Interactions

Rule Description	Rule No.	Confidence Class	Confidence Score
>6 models agree	1	very high	5
4 to 6 models agree	2	high	4
4 models agree	3	moderate	3
<4 models agree	4	low	2
Models are equivocal	5	equivocal	1
3 or more models indicate metabolite is positive	6	high	4

# Severity Scoring

- Chemicals can cause effects from more than one pathway and organisms can be exposed at different temporal and spatial scales
- Hazard and exposure severity scores are based on the **number of descriptor rules triggered**
  - e.g., one Class 3 hazard rule triggered = moderate, all Class 3 rules triggered = very high
- Risk severity is the **sum** of the hazard and exposure severity scores and ranges from very low to very high
- Severity can be viewed as an integrated measure of “risk scale”

# ECO-GENOTOXICITY AND VULNERABLE ECOLOGICAL POPULATIONS

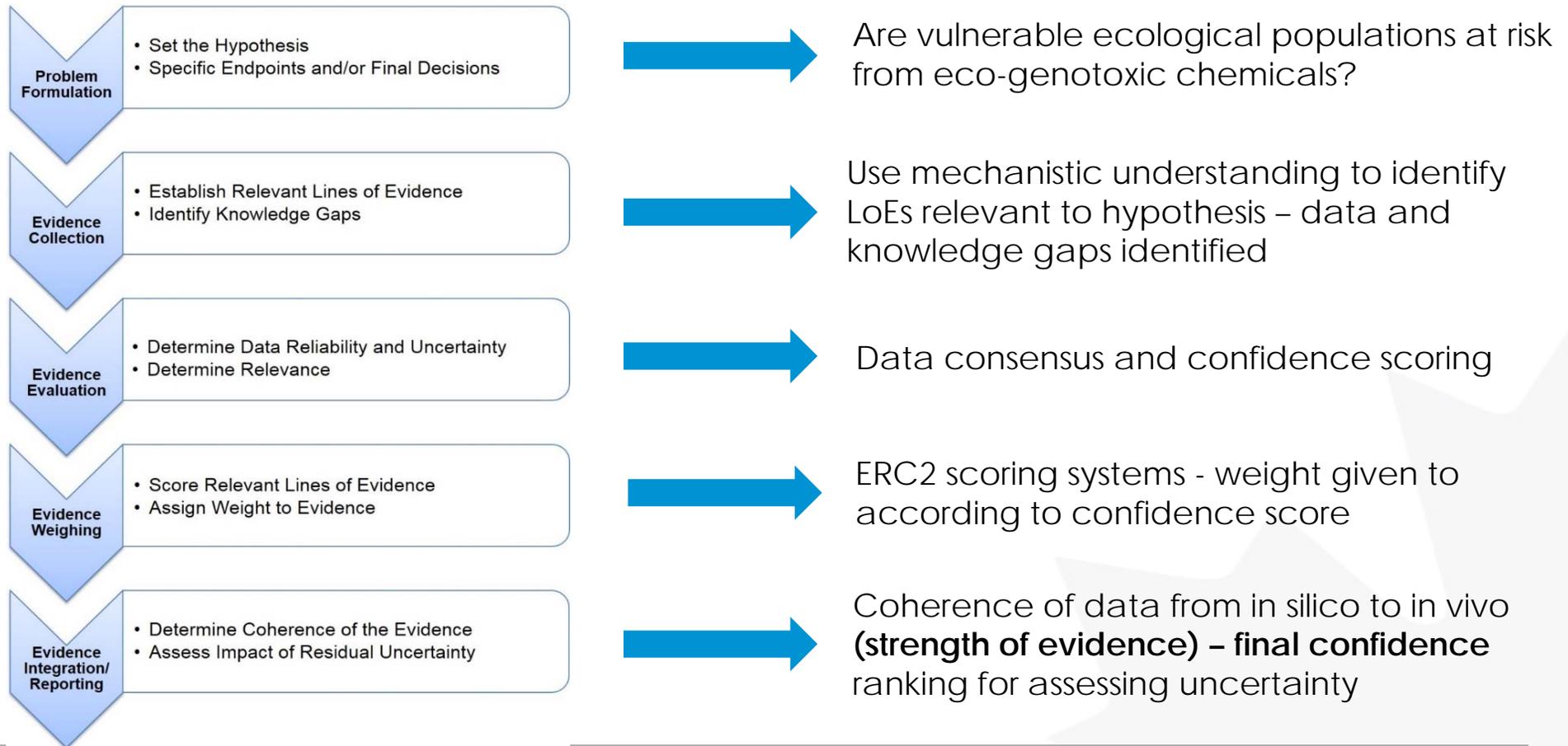
# Ecological Relevance of Genotoxicity Profiling

- For non-human organisms, we are mainly interested in genotoxic responses related to developmental and reproductive effects and thus mainly mutagenic responses
  - Most non-human organisms are less likely to develop carcinogenic outcomes due to much shorter lifespans (exceptions exist such PFOA tumors in turtles)
  - Issue of exposures to epigenetic chemicals with wide environmental distribution and long residence time in the environment
    - Transmissible population level impacts continue even after emissions are stopped and “safe levels” are reached (e.g., based on chronic PNEC)
-

# What Does Vulnerable Mean Ecologically?

- Not defined for CMP for ecological receptors
- For this case study we can consider Vul-Pop to include:
  - **Sensitive and or early life stage(s) or sex (e.g., developmental stages in females)**
  - Populations exposed to multiple stressors in highly disturbed ecosystems (e.g., areas of human development, natural disasters)
  - Populations under stress from the impacts of climate change
  - **Populations highly and continuously exposed to chemicals including chemical mixtures (red zones)**
  - Species used as a major food source for humans
  - Species threatened or at risk

# ERC2 and OECD Key Elements for Weight of Evidence



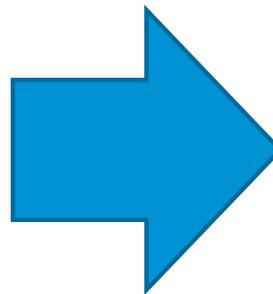
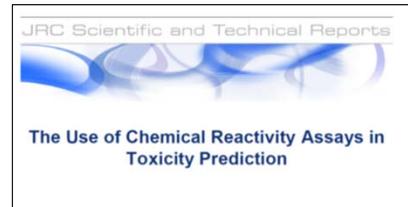
# ERC2 and Eco-genotoxicity

Goal: Identify specific toxicological endpoints of concern and the **plausible mechanisms** that can be linked to adverse outcomes (causality)

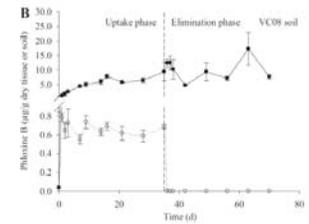
## Example Protein and DNA Binding Mechanisms

Table 1: Common mechanistic domains.

Michael acceptors	Acylating agents	Mechanistic domain		S <sub>N</sub> 1/S <sub>N</sub> 2 electrophile
		Schiff base formers	S <sub>N</sub> Ar electrophiles	
X = electron withdrawing	X = electron withdrawing and a good leaving group.	Attacking nucleophile is an amine (NH <sub>2</sub> -)	X = usually a halogen or pseudohalogen. Y <sub>1</sub> , Y <sub>2</sub> = electron withdrawing	X = usually electron withdrawing and a good leaving group.

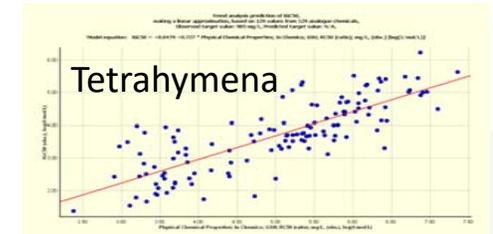


GHS RC50 Binding Potency; in vitro DNA damage, chrom. abb.

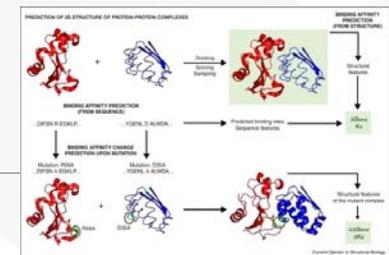


Binding Leads to Different Tissue Distribution (Princz et al. 2014)

Dermal Effects (~sensitization)



Developmental Effects (mutation)



# Conceptual Model of ERC2

## MOA DESCRIPTOR ENDPOINTS

6 MoA QSARs  
7 Tissue Residue models

## STERIC DESCRIPTOR ENDPOINTS

ER RBA  
AR RBA  
Thyroid TPO, Binding  
AhR  
DART in vivo toxicity  
Chronic aquatic toxicity  
Acute Aquatic Toxicity

## COVALENT DESCRIPTOR ENDPOINTS

Chromosome aberration  
Gene mutation I, II  
DNA and protein damage  
DNA damage and repair  
Micronucleus I, II  
Ames  
DNA and Protein Binding (7 profilers from Toolbox)  
Protein Binding Potency  
GHS RC50  
DART  
Repeated Oral Dose  
Teratogenicity

## ADME DESCRIPTOR ENDPOINTS

Vd  
PPB  
Ka intestine  
pKa, Fraction ionized  
Dmax, Deff  
LogKow  
MP  
MW  
Metabolism rate (fish, mammal, bird)

## ALL EXPOSURE DESCRIPTOR ENDPOINTS

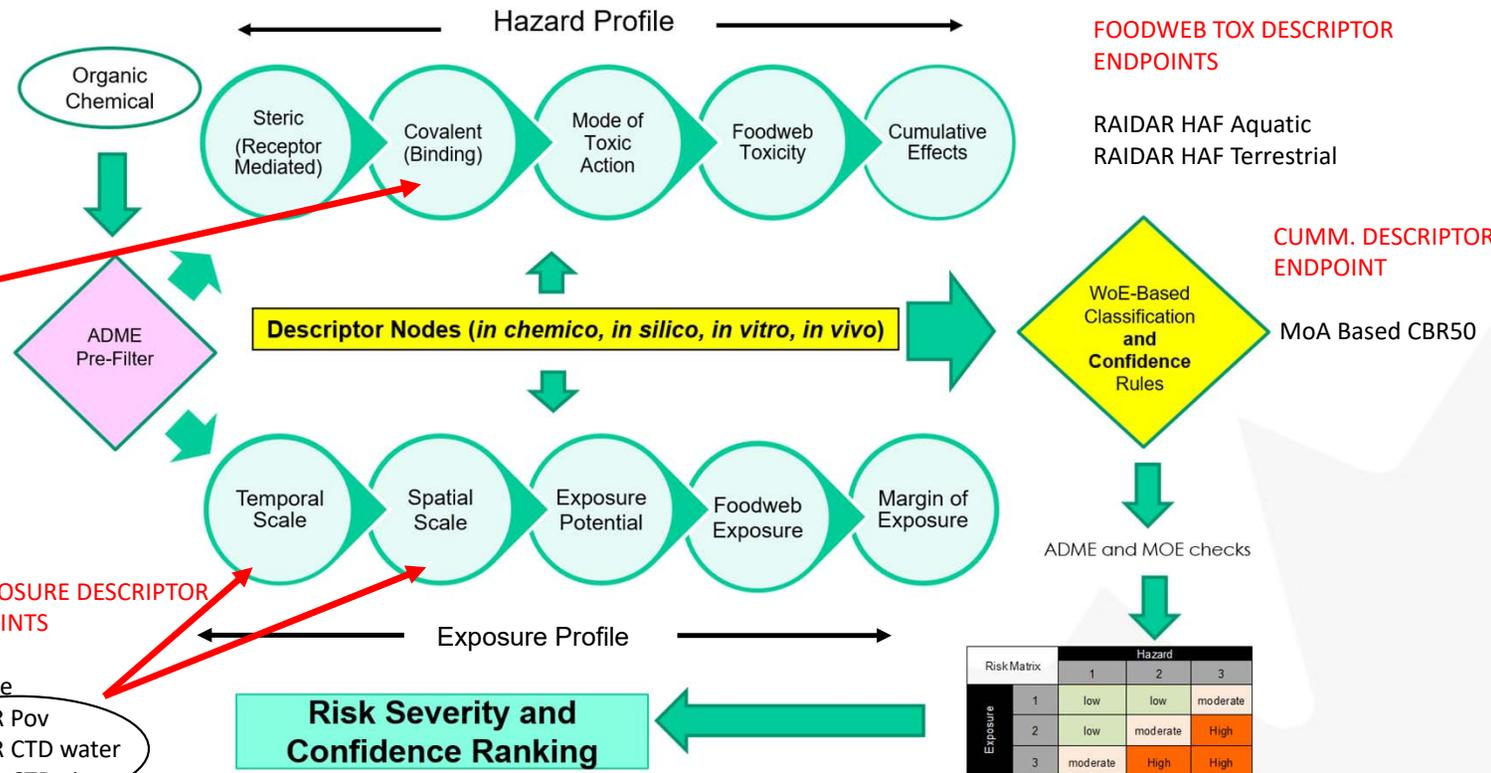
Tonnage  
RAIDAR Pov  
RAIDAR CTD water  
RAIDAR CTD air  
RAIDAR EAF  
RAIDAR critical emission rate  
RAIDAR tissue residue terrestrial  
RAIDAR tissue residue aquatic  
Fish BERS

## FOODWEB TOX DESCRIPTOR ENDPOINTS

RAIDAR HAF Aquatic  
RAIDAR HAF Terrestrial

## CUMM. DESCRIPTOR ENDPOINT

MoA Based CBR50



Risk Matrix		Hazard		
		1	2	3
Exposure	1	low	low	moderate
	2	low	moderate	High
	3	moderate	High	High

# Preliminary ERC2 Eco-genotoxicity Results

- There are almost 200 chemicals that meet the hypothesis question posed (~1.5% of ERC2 list) - **most are ionogenic (multiprotic)**
- All of these chemicals have a **low or very low confidence** score (<40), meaning that all hazard and exposure classifications have relied almost solely on *in silico* evidence
- Points to **significant data gaps** in testing at all biological levels
- The high severity score suggests **several other mechanisms of action** (e.g., endocrine, uncoupling, neurotoxicity) coupled with very long clearance time (up to decades) and high mobility in water = **monitoring needed**
- The **regulatory decision** on the priority of these chemicals is now related to **the acceptable level of uncertainty** given the regulatory context

	Risk Rule	Risk Description	Risk Classification	Confidence Description	Confidence Score	Severity Description (Hazard - Exposure)	Severity Score	Risk Scale
1962	1 High			6 Very Low	15 High ~ High		5 Global	
3200	1 High			6 Very Low	16.5 High ~ High		6 Global	
3926	1 High			6 Very Low	17.5 High ~ High		6 Global	
4286	1 High			6 Very Low	20 High ~ High		6 Global	
4405	1 High			6 Very Low	19.5 High ~ High		6 Global	
4506	1 High			6 Very Low	16.5 High ~ High		6 Global	
4717	1 High			6 Very Low	15 High ~ High		6 Global	
4790	1 High			6 Very Low	19 High ~ High		6 Global	
4892	1 High			6 Very Low	16 High ~ High		6 Global	
4910	1 High			6 Very Low	16.5 High ~ High		6 Global	
4920	1 High			6 Very Low	17 High ~ High		6 Global	
4938	1 High			6 Low	26.5 High ~ High		6 Global	
5028	1 High			6 Very Low	24.5 High ~ High		6 Global	
5861	1 High			6 Very Low	15 High ~ High		6 Global	
5949	1 High			6 Very Low	17 High ~ High		6 Global	
6277	1 High			6 Very Low	22 High ~ High		6 Global	
6345	1 High			6 Very Low	16.5 High ~ High		6 Global	
6358	1 High			6 Very Low	17.5 High ~ High		6 Global	
6364	1 High			6 Very Low	14 High ~ High		6 Global	
6480	1 High			6 Very Low	16 High ~ High		6 Global	
6662	1 High			6 Very Low	16 High ~ High		6 Global	

# 2006 Categorization Decisions for ~200 ERC2 Eco-genotoxic Chemicals

- None of the ~200 substances in this case study were classified as PB(i)T or P or B and (i)T in 2006 thus they were not evaluated further under the Chemicals Management Plan until now
- All of the ~200 were categorized as persistent
- Only one of the ~200 was classified as bioaccumulative
- None were classified as inherently toxic using acute and or chronic lethality < 1 or 0.1 mg/L, respectively
  - Several iT results were deemed uncertain
- All of the categorization results were based on QSARs or category read-across due to lack of data at the time

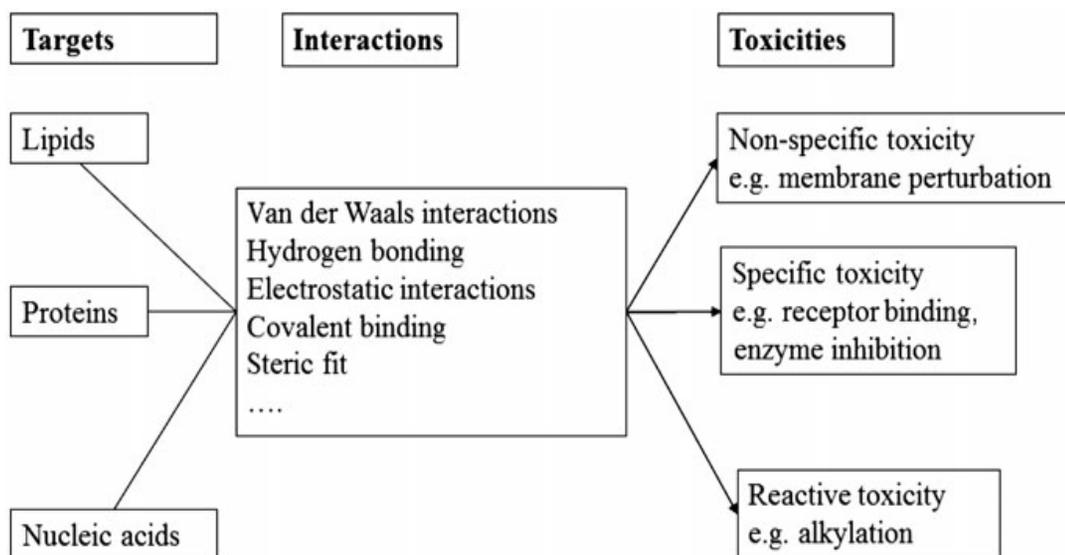
# Thank You / Merci !



For more information on the Chemicals Management Plan:  
<https://www.canada.ca/en/health-canada/services/chemical-substances/chemicals-management-plan.html>

# ANNEX:

# Defining Toxicological Space for Ecological Prioritization



## SAR and QSAR in Environmental Research

Publication details, including instructions for authors and subscription information:  
<http://www.tandfonline.com/loi/gsar20>

### Discriminating toxicant classes by mode of action: 4. Baseline and excess toxicity

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<sup>b</sup> Fraunhofer-Institute for Molecular Biology and Applied Ecology, Schmallenberg, Germany

Published online: 28 Apr 2014.

# ERC2 and One Toxicology

- ERC uses a high amount of data that would traditionally be in the human health domain thus promotes the notion of “one health” via cross species susceptibility and can include human dietary exposures
- USEPA’s SeqAPASS\* Tool can be used to verify the degree of cross species susceptibility to specific interactions (e.g., estrogen receptor, P450 inhibition) by checking the commonality of protein sequences

Example cross species alignment to thyroid peroxidase inhibition susceptibility

**Insects, bivalves and other invertebrates have low biological read-across potential**

Accession	Species	Protein Name	Length	Start	End	Score	E-value
A_F02482	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02483	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02484	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02485	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02486	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02487	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02488	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02489	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02490	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02491	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02492	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02493	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02494	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02495	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02496	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02497	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02498	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02499	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02500	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02501	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02502	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02503	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02504	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02505	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02506	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02507	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02508	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
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A_F02564	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
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A_F02588	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02589	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02590	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02591	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02592	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02593	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02594	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02595	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02596	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02597	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02598	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02599	Human	Thyroid peroxidase	327	1	327	100.00	0.000000
A_F02600	Human	Thyroid peroxidase	327	1	327	100.00	0.000000

SeqAPASS Reports

Version 3.2

Logged in as: mark.bonow@canada.ca

Main

Partial Protein Sequence

View Report

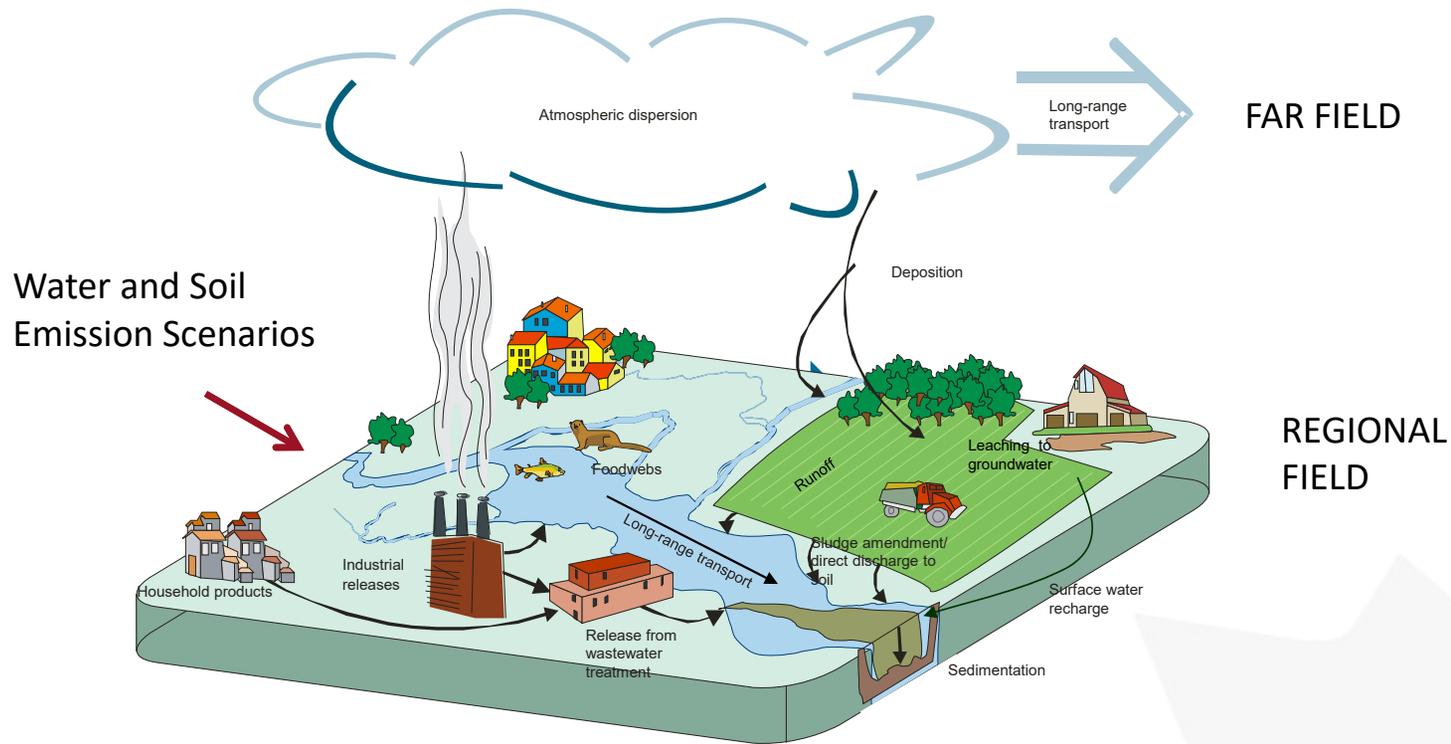
Save Report(s)

Available Reports

Report ID	Data Version	Defining Count	Level 1 Query	NCBI Taxonomy ID 1	Query Species Name 1
1028	4	110	APF0124.2	60890	Phaeobryon prasinum
1028	4	301	APF0124.1	60890	Phaeobryon prasinum
1028	4	144	APF0124.3	60890	Phaeobryon prasinum
1028	4	1	APF0124.1	60890	Phaeobryon prasinum
1028	4	0	APF0124.1	60890	Phaeobryon prasinum
1028	4	202	APF0124.3	60890	Phaeobryon prasinum
1028	4	206	APF0124.1	60890	Phaeobryon prasinum
1028	4	207	APF0124.1	60890	Phaeobryon prasinum

\*Sequence Alignment to Predict Across Species Susceptibility

# Exposure Scale Using Regional and Far Field Scenarios



# Principle Models Used in ERC2

- OECD QSAR Toolbox v4.4 (profilers, empirical data)
- ECCC Chemical Pipeline Profiler (TIMES, CATALOGIC, OASIS ecotoxicity)
- EPIWIN
- ACD Labs *Percepta*
- IFS QSAR (metabolic half-lives fish, mammals)
- TEST,
- ASTER
- AIEPS
- Danish QSAR DB (Thyroid) (online)
- OPERA (ER/AR binding using CERAPP, COMPARA consensus models)
- RAIDAR v2.99 beta
- EXPOCAST (QSUR)